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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **APBI-P08-317**
First Inventor **Gerald R. Crabtree et al.**
Title **REGULATED APOPTOSIS**
Express Mail Label No. **EL 920 874 285US**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages **107**)
(preferred arrangement set forth below)
- ☒ - Descriptive title of the invention
 - ☒ - Cross Reference to Related Applications
 - ☒ - Statement Regarding Fed sponsored R & D
 - ☒ - Reference to sequence listing, a table, or a computer program listing appendix
 - ☒ - Background of the Invention
 - ☒ - Brief Summary of the Invention
 - ☒ - Brief Description of the Drawings (if filed)
 - ☒ - Detailed Description
 - ☒ - Claim(s)
 - ☒ - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets **32**)
5. Oath or Declaration (Total Pages **33**)
- a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: **Certif. of Express Mailing Small Entity Statements (2)**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/302,609**

Prior application information: Examiner _____ Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label (Insert Customer Number or Bar Code label here) or ☐ Correspondence address below

Name	PATENT TRADEMARK OFFICE		
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type) **Matthew P. Vincent** Registration No. (Attorney/Agent) **36,709**
Signature **[Signature]** Date **11/13/01**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gerald R. Crabtree et al.

Attorney Docket No. APBI-P08-317

Serial No: Not yet assigned
(continuation of USSN 09/302,609)

Art Unit:

Examiner:

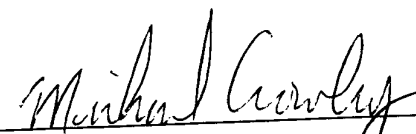
Filed: November 13, 2001

For: REGULATED APOPTOSIS

Certification of Express Mailing Under 37 CFR 1.10

10054712 "111301"
I hereby certify that the enclosed continuation patent application, consisting of:
Transmittal letter (1 p.); 101 pages of specification; 5 pages of claims; one page of abstract; (32)
pages of drawings; (33) pages of copy of signed declaration/power of attorney; (2 pp.) copy of
Small Entity Declaration; (1 p.) copy of Revocation and Grant of Power of Attorney; 1 p.
Change of Correspondence Address; Certification of Express Mailing Under 37 CFR 1.10 (1 p.);
and postpaid return postcard, are being deposited by me with the United States Postal Service
"Express Mail Post Office to Addressee" service, Mailing Label No. **EL920 874 285US**, under
37 CFR 1.10 on the date indicated below and is addressed to BOX PATENT APPLICATION,
Commissioner for Patents, Washington, D.C. 20231.

November 13, 2001


Printed name: Michael Crowley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gerald R. Crabtree et al.

Attorney Docket No. APBI-P08-317

Serial No: Not yet assigned
(continuation of USSN 09/302,609)

Art Unit:

Examiner:

#16

Filed: November 13, 2001

For: REGULATED APOPTOSIS

Certificate of Express Mailing (37 CFR 1.10)

Label No. EL920 874 285US

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 and is addressed to the Commissioner for Patents; BOX RCE, Washington, D.C. 20231, on the date set forth below.

November 13, 2001

Date of Signature
and of Mail Deposit

Printed Name: **Michael Crowley**

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

CHANGE OF CORRESPONDENCE ADDRESS

Dear Sir:

Effective immediately, all correspondence concerning the above-referenced patent application should be directed to:

Customer Number 28120
IP Docket Specialist
Ropes & Gray
One Post Office Square
Boston, MA 02110
Attention: Matthew P. Vincent

Tel: (617) 951-7000
Fax: (617) 951-7040

If there are any question after review these papers, the PTO is invited to contact the undersigned at (617) 951-7000.

Respectfully Submitted,

Date: November 13, 2001

Customer No: 28120
Docketing Specialist
Ropes & Gray
One International Place
Boston, MA 02110

Matthew P. Vincent
Reg. No. 36,709